



PTO/SB/21 (09-04)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

10

Application Number

10/650003

Filing Date

August 27, 2003

First Named Inventor

John B. Gunter

Art Unit

2828

Examiner Name

Philogene, Haissa

Attorney Docket Number

34822-00017

ENCLOSURES (Check all that apply)

☐ Fee Transmittal Form

☐ Fee Attached

☒ Amendment/Reply to Notice of Non Compliance

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☐ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☐ Reply to Missing Parts/ Incomplete Application

☐ Reply to Missing Parts under 37 CFR 1.52 or 1.53

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition

☐ Petition to Convert to a Provisional Application

☐ Power of Attorney, Revocation Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s) _____

☐ Landscape Table on CD

☐ After Allowance Communication to TC

☐ Appeal Communication to Board of Appeals and Interferences

☐ Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s) (please Identify below):

Return Receipt Postcard, Amendment Transmittal, and Postcard

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name

JENKENS & GILCHRIST, A PROFESSIONAL CORPORATION

Signature

Daniel G. Nguyen

Printed name

Daniel G. Nguyen

Date

2/4/05

Reg. No.

42,933

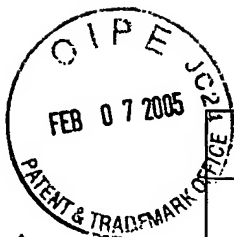
I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: MS Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: 2/4/2005

Signature:

Susan B. Jensen

(Susan B. Jensen)

**AMENDMENT TRANSMITTAL LETTER**Docket No.
34822-00017Application No.
10/650003Filing Date
August 27, 2003Examiner
Philogene, HaissaArt Unit
2828

Applicant(s): John B. Gunter et al.

Invention: LED LIGHTING SYSTEM

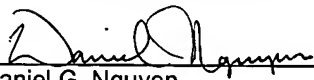
TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is an amendment in the above-identified application.

The fee has been calculated and is transmitted as shown below.

CLAIMS AS AMENDED					
	Claims Remaining After Amendment	Highest Number Previously Paid	Number Extra Claims Present	Rate	
Total Claims	29	- 29 =		x	0.00
Independent Claims	4	- 4 =		x	0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					
Other fee (please specify):					
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT:					0.00

☐ Large Entity☒ Small Entity☒ No additional fee is required for this amendment.☐ Please charge Deposit Account No. _____ in the amount of \$ _____.
A duplicate copy of this sheet is enclosed.☐ A check in the amount of \$ _____ to cover the filing fee is enclosed.☐ Payment by credit card. Form PTO-2038 is attached.☐ The Director is hereby authorized to charge and credit Deposit Account No. _____
as described below. A duplicate copy of this sheet is enclosed.☐ Credit any overpayment.☐ Charge any additional filing or application processing fees required under 37 CFR 1.16 and 1.17.

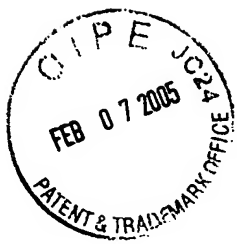

Daniel G. Nguyen
Attorney Reg. No.: 42,933

Dated: 2/4/05

JENKENS & GILCHRIST, A PROFESSIONAL CORPORATION
5 Houston Center
1401 McKinney, Suite 2600
Houston, Texas 77010
(214) 855-4795

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: MS Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: 2/4/2005Signature: Susan B. Jensen (Susan B. Jensen)



IFW

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: MS Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: 2/4/2005 Signature: Susan B. Jensen
(Susan B. Jensen)

Docket No.: 34822-00017
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
John B. Gunter et al.

Application No.: 10/650003

Art Unit: 2828

Filed: August 27, 2003

Examiner: Philogene, Haissa

For: LED LIGHTING SYSTEM

AMENDMENT IN RESPONSE TO NOTICE OF NON COMPLIANCE

MS Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

INTRODUCTORY COMMENTS

In response to the Notice of Non-Compliant Amendment mailed 01/14/2005 and the Office Action dated September 16, 2004, please amend the above-identified U.S. patent application as follows:

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks/Arguments begin on page 6 of this paper.